

A 210-year tree-ring $\delta^{18}\text{O}$ record in North China and its relationship with large-scale circulations

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ABSTRACT

Tree-ring stable oxygen isotope ($\delta^{18}\text{O}_{\text{TR}}$) demonstrates the enormous potential for revealing hydrological climate changes and large-scale atmospheric circulations. Here, we established the 210-year $\delta^{18}\text{O}_{\text{TR}}$ chronology of *Pinus tabulaeformis* Carr. in Baotou, southwest Inner Mongolia, North China. The regional precipitation $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_{\text{P}}$) and June–July–August relative humidity (RH_{JJA}) were the two dominant environmental factors controlling the $\delta^{18}\text{O}_{\text{TR}}$ changes. Since the 1930s, the increasing $\delta^{18}\text{O}_{\text{TR}}$ values in the study region reflected the drying trend due to reducing precipitation and increasing temperature. The $\delta^{18}\text{O}_{\text{TR}}$ series in Baotou generally exhibited stronger correlations with three Indian summer monsoon (ISM) indexes than three East Asian summer monsoon (EASM) indexes during 1948–2009 AD. However, the $\delta^{18}\text{O}_{\text{TR}}$ record also significantly correlated with one EASM index. These results indicated that the Asian summer monsoon played an essential role in modifying the $\delta^{18}\text{O}_{\text{TR}}$ values, and the ISM possibly had more considerable influence compared with the EASM. We also found that the $\delta^{18}\text{O}_{\text{TR}}$ record had a higher correlation with the central-Pacific El Niño–Southern Oscillation (ENSO) than that with eastern-Pacific ENSO, probably because of the more substantial impact of the central-Pacific ENSO on the ISM.

Keywords: tree ring, stable oxygen isotope ($\delta^{18}\text{O}$), Asian monsoon, El Niño–Southern Oscillation (ENSO), climate change

1. Introduction

Monsoon circulations are crucial for globally transporting energy and moisture, and they have profound societal and economic impacts on monsoon regions (An et al., 2015). The Asian monsoon (AM) is an essential member of the global monsoon system (Wang and Ding, 2006, 2008), and could be divided into two sub-systems, i.e. the South Asian/Indian monsoon and the East Asian monsoon (Wang, 2006). Approximately 60% of the global population inhabits the AM region, and the economy, culture, and lifestyles are tightly connected with the AM

intensity (Wang, 2006). Therefore, studying the AM is of vital significance. Given the short meteorological data, finding suitable natural archives that can represent monsoon changes, such as stalagmites (Cheng et al., 2016), lake sediments (Xiao et al., 2018), and tree rings (Cook et al., 2010; D'Arrigo et al., 2011), could help us comprehend the variations of the AM on a longer timescale. Among these natural records, tree rings have unique advantages, such as accurate dating and wide geographical distributions (Cook and Anchukaitis, 1990), and have played an important role in understanding the climate change in the past centuries and millennia.

In recent years, tree-ring stable oxygen isotope ($\delta^{18}\text{O}_{\text{TR}}$) has shown great potential for revealing hydrological climate change and large-scale atmospheric

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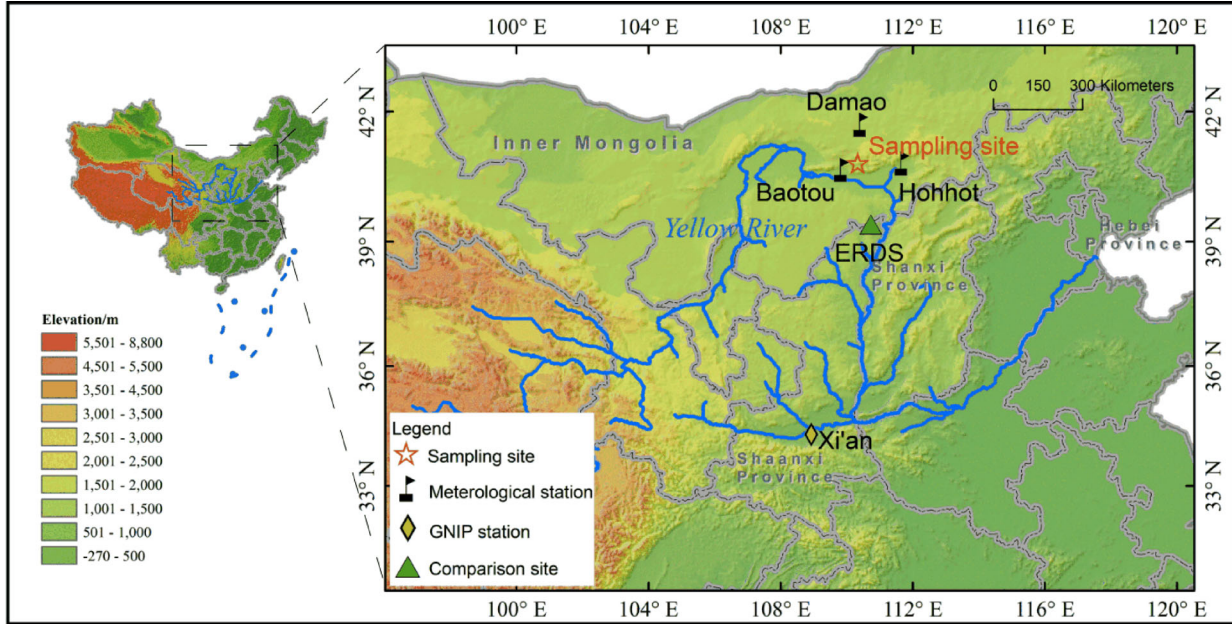


Fig. 1. Geographical locations of the sampling site, three meteorological stations and one site for $\delta^{18}\text{O}$ comparison (ERDS, Liu, Wang et al. 2019). The $\delta^{18}\text{O}_\text{P}$ site in Xi'an from the Global Network of Isotopes in Precipitation project (GNIP) was denoted and the $\delta^{18}\text{O}_\text{P}$ site in Baotou was at the same location of the Baotou meteorological station.

circulations (Liu et al., 2009; Liu, An et al., 2012; Brien et al., 2012; Sano et al., 2012, 2013; Zhu et al., 2012; Rinne et al., 2013; Young et al., 2015; Labotka et al., 2016; Labuhn et al., 2016; Liu, Cobb et al., 2017; Wernicke et al., 2017; Nagavciuc et al., 2019; Xu et al., 2018; Brunello et al., 2019). $\delta^{18}\text{O}_\text{TR}$ is mainly controlled by three factors, (a) the $\delta^{18}\text{O}$ composition of source water, which mainly comes from atmospheric precipitation (McCarroll and Loader, 2004); (b) evaporative enrichment of leaf water, which depends on relative humidity (RH); and (c) biochemical fractionation during photosynthesis (Barbour et al., 2001). Therefore, $\delta^{18}\text{O}_\text{TR}$ can contain precipitation $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_\text{P}$) and RH signals (Waterhouse et al., 2002), thus indicating the changes in hydrological cycles and large-scale circulations (Saurer et al., 2002).

In China, many $\delta^{18}\text{O}_\text{TR}$ studies have revealed the history of the AM and highlighted the impact of El Niño-Southern Oscillation (ENSO) on the AM on the interannual timescale (Xu et al., 2013, 2016; Zeng et al., 2016; Liu, Liu et al., 2017; Liu, Fang et al., 2019; Liu, Wang et al., 2019). In the Yaoshan region of Central China (Liu, Liu et al., 2017) and the Ordos Plateau of North China (Liu, Wang et al., 2019), the $\delta^{18}\text{O}_\text{TR}$ -based RH reconstructions reflected the weakening trend of the Asian summer monsoon (ASM) since the 1930s. More evidence about the weakening trend of ASM is needed. In addition, a positive correlation between the

precipitation in North China and the Indian summer monsoon (ISM) was found in numerous meteorological studies (Kripalani and Singh, 1993; Kripalani and Kulkarni, 2001; Greatbatch et al., 2013). Some studies suggested that ENSO might regulate the teleconnection of rainfall in North China and India (Hu et al., 2005). Others believed that the ISM regulated the relationship between the summer rainfall in North China and ENSO (Feng and Hu, 2004). To explore whether ENSO or the ISM has played the regulatory roles, more palaeoclimatological evidence is required (Li et al., 2015).

Based on the two issues above, we conducted research on the $\delta^{18}\text{O}_\text{TR}$ record in Baotou, Inner Mongolia. Baotou is located in the semi-arid region of North China, which is a transition zone between the arid inland region and the humid southeast region and very sensitive to climate change and land use (Huang et al., 2017). To date, only a few tree-ring width studies have been carried out in Baotou (Liu et al., 2007; Liu, Zhang et al., 2017; Zhang et al., 2017), and tree-ring isotopes remain unstudied in the area. We assumed that the $\delta^{18}\text{O}_\text{TR}$ sequence in Baotou would be strongly linked to the hydroclimatic conditions and the atmospheric circulations. We hoped to (a) discuss the phenomenon of the weakening ASM after the 1930s and (b) investigate the relationship between the $\delta^{18}\text{O}_\text{TR}$ record and ENSO and the ISM. The study would fill the gap in the $\delta^{18}\text{O}_\text{TR}$ research in Baotou and deepen our understanding of $\delta^{18}\text{O}_\text{TR}$ and large-scale circulations.

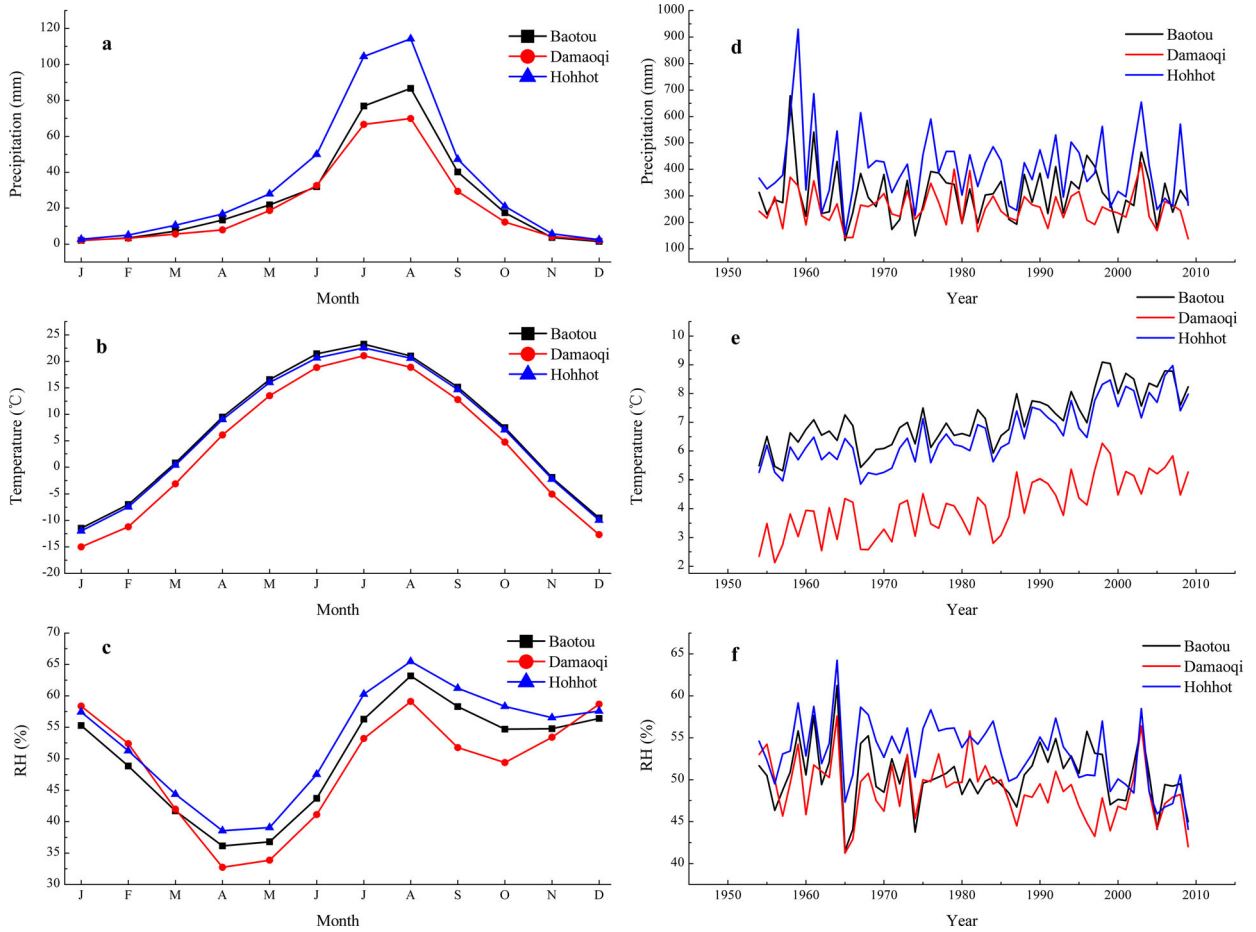


Fig. 2. The monthly variations of (a) precipitation, (b) temperature and (c) relative humidity and the annual variations of (d) precipitation, (e) temperature and (f) relative humidity at the Baotou, Damaoqi and Hohhot stations during 1954–2009 AD.

2. Materials and methods

2.1. Sampling information

The sampling site, Wudangzhao (WD) (40°50' N, 110°20' E, 1500 m a.s.l., Fig. 1), is on the southern slope of Yinshan Mountains, which is 30 km from the main urban districts of Baotou City (Zhang et al., 2017). According to the records of the Baotou meteorological station (1067.2 m a.s.l., 1951–2009 AD), the annual precipitation is 307 mm, and summer (June–July–August, JJA) precipitation accounts for 64% of the annual precipitation. The annual average temperature is 7.1 °C. The warmest month is July (23.2 °C), while the coldest month is January (−11.5 °C). The annual average RH is 51.2%. In terms of monthly variations, RH is lowest in May (36.9%) and highest in August (63.7%). In the summer of 2013, 60 cores from 30 trees were collected from the eastern (named WDE) and western (named WDW) sides of WD. The tree species collected was *Pinus tabulaeformis* Carr.

2.2. Oxygen isotope analysis

Before conducting the isotope experiment, we measured the tree-ring width and used the COFECHA programme for cross-dating. In general, annual rings can occasionally be missing due to the dry growing conditions in arid or semi-arid areas (Fritts, 1976). Baotou is located in a semi-arid area, and the average rate of missing rings was as high as 3.13% in the sampling site WD (Liu et al., 2007). In addition to missing rings, tree rings grew in narrow or distorted shapes in many time intervals, which created certain obstacles for sample cutting. We chose 8 segments with relatively straight and wide rings and few missing rings, namely, WDW12 B (1800–1950 AD), WDW15A (1800–1902 AD), WDW10B (1800–1902 AD), WDE 9A (1800–1900 AD), WDE5B (1800–1990 AD), WDE 13 A (1917–2009 AD), WDW 7B (1929–2009 AD), WDW5B (1901–2009 AD). Note that the chronology ended in the year of 2009 because the rings for the next three years

(2010–2012) were unclear and distorted. Besides, rings of the first 40–50 years close to the pith were not used to avoid possible ‘juvenile effect’ (Duffy et al., 2017).

The rings for each year of these eight cores were peeled off under a microscope with a scalpel. Then, the samples of annual rings were independently transferred into the tubes for chemical reactions. We extracted α -cellulose using the Jayme-Wise method (Green, 1963), and the more detailed chemical reaction process could be found in Liu et al. (2018). The α -cellulose experienced a complete homogenising and drying, and then 0.12–0.16 mg of each sample was wrapped in a silver capsule for isotope measurement. The $\delta^{18}\text{O}$ values were measured by the Delta V Advantage elemental mass spectrometer. The oxygen isotope ratio was expressed as the deviation of $\delta^{18}\text{O}$ from the Vienna Standard Mean Ocean Water (VSMOW) standard. The formula for calculating the $\delta^{18}\text{O}$ value is as follows:

$$\delta^{18}\text{O} = (\text{R}_{\text{sample}}/\text{R}_{\text{standard}} - 1) \times 10^3, \quad (1)$$

where R_{sample} and $\text{R}_{\text{standard}}$ represent the ratios of $^{18}\text{O}/^{16}\text{O}$ in the sample and the standard, respectively.

Merck microcrystalline with a known $\delta^{18}\text{O}$ value (27.7 ‰) was chosen as the laboratory standard. A Merck sample was inserted into every eight tree-ring samples to evaluate the measurement quality and correct the isotope values. The oxygen isotope measurement accuracy was ± 0.3 ‰ ($N = 116$).

2.3. Meteorological data

The three closest meteorological stations to the sampling site are the Baotou (40.67° N, 109.85° E, 1067.2 m a.s.l.), Damaoqi (41.7° N, 110.43° E, 1376.6 m a.s.l.), and Hohhot stations (40.82° N, 111.68° E, 1063.0 m a.s.l.). According to rough calculations according to their latitudes and longitudes, the stations are approximately 44 km, 97 km, 114 km from the sampling site, respectively. The selected climate factors were temperature, precipitation, and RH. As shown in Fig. 2a–c, the monthly variation patterns of the three climate factors at the three meteorological stations during the common period 1954–2009 AD were almost identical. The annual values of the climatic factors from 1954 to 2009 AD had differences, especially in terms of temperature, but they exhibited synchronous changes (Fig. 2d–f). They are located in different directions from the WD sampling site (Fig. 1). Therefore, we used the arithmetic mean of the selected climate factors at the three stations to represent the climate in WD.

2.4. Statistical analysis

Since each core covered a different time span, we first standardised each core. The mean interseries correlations (R_{bar}) and

expressed population signal (EPS) were calculated according to the 30-year window from the period of 1815–1995 AD (Wigley et al., 1984). The formula for EPS is as follows:

$$\text{EPS} = \frac{N * R_{\text{bar}}}{(N - 1) * R_{\text{bar}} + 1}, \quad (2)$$

where N refers to the number of cores, and R_{bar} is the average correlation between the tree cores.

The numerical mixing method (Liu, Liu et al., 2012) was used to synthesise a master $\delta^{18}\text{O}_{\text{TR}}$ sequence. The relationship between the $\delta^{18}\text{O}_{\text{TR}}$ sequence and the climate factors was examined using Person correlation (r). Because of the autocorrelation between the sequences, we calculated the effective degree of freedom (EDOF), Bretherton et al., 1999) as follows:

$$\text{EDOF} = N * (1 - r_1 * r_2) / (1 + r_1 * r_2), \quad (3)$$

where N is the length of the time series, and r_1, r_2 is the first-order autocorrelation of each sequence.

To prove that the $\delta^{18}\text{O}_{\text{TR}}$ record inherits the signal of $\delta^{18}\text{O}_{\text{P}}$, we compared the $\delta^{18}\text{O}_{\text{TR}}$ series and two surrounding $\delta^{18}\text{O}_{\text{P}}$ sites (Xi'an and Baotou) from the Global Network of Isotopes in Precipitation project (GNIP, <http://www.naweb.iaea.org>). The periodicity analysis of the $\delta^{18}\text{O}_{\text{TR}}$ sequence was obtained with the multitaper method (MTM). We used the Royal Netherlands Meteorological Institute Climate Explorer (<http://climexp.knmi.nl/>) to carry out a spatial correlation analysis of between the $\delta^{18}\text{O}_{\text{TR}}$ sequence and sea surface temperature (ERSST dataset) from 1854–2009 AD, as well as the hydroclimate factors, namely, precipitation (CRU TS4.03), PDSI (UCAR), and water vapour pressure (CRU TS4.03) from 1950 to 2009 AD. In addition, we calculated correlation coefficients between the $\delta^{18}\text{O}_{\text{TR}}$ sequence and the Niño 4 (5° S–5° N, 160° E–150° W), Niño 3.4 (160° E–150° W, 5° S–5° N), Niño 3 (5° S–5° N, 150°–90° W), and Niño 1 + 2 (0°–10° S, 80°–90° W) SST indexes from ERSST dataset during 1854–2009 AD. The ERSST dataset was also retrieved from <http://climexp.knmi.nl/>.

We used the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis data to calculate three East Asian summer monsoon (EASM) indexes and three ISM indexes (Webster and Yang, 1992; Lau et al., 2000; Li and Zeng, 2002, 2003; Zhang et al., 2003). The NCEP/NCAR data were obtained from <https://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html>. These indexes were based on different meteorological variables over different regions. Webster and Yang (1992) defined the ISM as the zonal wind shear between 850 and 200 hPa within 5–20° N and 40–110° E. Lau et al. (2000) defined two monsoon indexes, RM1 (ISM) and RM2 (EASM). RM1 was defined as the V850 minus the V200 over the region 10°–30° N and 70°–110° E, where V850 and V200 were the meridional wind at 850 hPa and 200 hPa,

Table 1. Statistical features of the eight individual series.

Cores	Time Span (AD)	Average (‰)	σ (‰)	Minimum (‰)	Maximum (‰)
WDW12 B	1800–1950	30.50	1.49	27.05	34.25
WDW15A	1800–1902	31.16	1.64	27.58	35.30
WDW10B	1800–1902	31.08	1.51	27.16	35.55
WDE 9A	1800–1900	31.35	1.34	28.34	33.84
WDE 13A	1917–2009	32.52	1.27	29.33	34.75
WDE 5B	1800–1990	31.55	1.39	27.07	35.06
WDW 7B	1929–2009	31.73	1.55	27.32	35.61
WDW 5B	1901–2009	31.45	1.63	27.17	34.52

respectively. RM2 was defined as the U200 over the region 40° – 50° N and 110° – 150° E minus U200 over the region 25° – 35° N and 110° – 150° E, where U200 denotes the zonal wind at 200 hPa. Li and Zeng (2002, 2003) defined the EASM index as an area-averaged seasonally (JJA) dynamical normalised seasonality (DNS) at 850 hPa within the East Asian monsoon domain (10° – 40° N, 110° – 140° E), while the ISM index is defined as an area-averaged seasonally (June–July–August–September, JJAS) dynamical normalised seasonality (DNS) at 850 hPa within the South Asian domain (5° – 22.5° N, 35° – 97.5° E). Zhang et al. (2003) defined the EASM index using the difference of anomalous wind between the (10° – 20° N, 100° – 150° E) and (25° – 35° N, 100° – 150° E) at 850 hPa with the averaged JJA. To be consistent, the ISM indexes were all calculated during JJAS, while the EASM indexes were calculated during JJA. The average JJA water vapour flux figure from the ground to 300 hPa during 1948–2009 AD was developed using NCAR Command Language (NCL) software based on the NCEP/NCAR reanalysis data.

The monsoon indexes obtained from the NCEP/NCAR reanalysis data were only 62 years (1948–2009 AD). To compare the $\delta^{18}\text{O}_{\text{TR}}$ series and the ISM on a longer time-scale, the all India precipitation data during JJAS from 1871 to 2009 AD from the Indian Institute of Tropical Meteorology (<https://tropmet.res.in/>) were obtained and used to represent the long-term changes in the ISM intensity. A partial correlation analysis was carried out to test the effect of ENSO and the ISM on the $\delta^{18}\text{O}_{\text{TR}}$ sequence.

3. Results

3.1. The $\delta^{18}\text{O}_{\text{TR}}$ record in Baotou and its relationship with $\delta^{18}\text{O}_{\text{P}}$

The $\delta^{18}\text{O}$ values of the eight cores ranged from 27.05‰ to 35.61‰. The time interval, $\delta^{18}\text{O}_{\text{TR}}$ average, and standard deviation of each core were summarised in Table 1. The averages and standard deviations of the eight cores varied from 30.50‰ to 32.52‰ and from 1.27‰ to 1.64‰, respectively. The original series of the eight cores were presented in Fig. 3a. Since the average and standard deviation of each core were different, we first performed

standardisation and then synthesised them to a master $\delta^{18}\text{O}_{\text{TR}}$ sequence, as shown in Fig. 3b. The autocorrelation of the master $\delta^{18}\text{O}_{\text{TR}}$ sequence was 0.34. In each time interval, there were 3–5 cores (Fig. 3c). The value range of R_{bar} was 0.53–0.70, and the EPS varied from 0.85 to 0.92 (Fig. 3d).

The comparisons between the $\delta^{18}\text{O}_{\text{TR}}$ record and two surrounding $\delta^{18}\text{O}_{\text{P}}$ stations (Xi'an and Baotou) were illustrated in Fig. 4. According to rough calculations based on their latitudes and longitudes, Baotou and Xi'an $\delta^{18}\text{O}_{\text{P}}$ stations are approximately 44 km and 736 km from the sampling site, respectively (Fig. 1). The $\delta^{18}\text{O}_{\text{TR}}$ record was consistent with the $\delta^{18}\text{O}_{\text{P}}$ in most years (Fig. 4).

3.2. Climate response of the $\delta^{18}\text{O}_{\text{TR}}$ record

The correlations between the $\delta^{18}\text{O}_{\text{TR}}$ record and the monthly precipitation amount, average temperature, and RH (1954–2009 AD) at the three meteorological stations were shown in Fig. 5a. Except for the temperatures in January and May, the $\delta^{18}\text{O}_{\text{TR}}$ record was not significantly correlated with any climate factors from October–December of the previous year to January–May of the current year, indicating that the $\delta^{18}\text{O}_{\text{TR}}$ sequence was basically unaffected by the pregrowing season. The highest correlation coefficient was -0.58 between $\delta^{18}\text{O}_{\text{TR}}$ and the RH in JJA (RH_{JJA}), implying RH_{JJA} was the main controlling factor of the $\delta^{18}\text{O}_{\text{TR}}$ series. In addition, the correlation between the $\delta^{18}\text{O}_{\text{TR}}$ series and the temperature and precipitation in JJA was also significant.

We further compared the $\delta^{18}\text{O}_{\text{TR}}$ record with the RH_{JJA} of the original sequences (Fig. 5b) and the first-order difference sequences (Fig. 5c). In particular, the comparison of the first-order difference sequences showed that the $\delta^{18}\text{O}_{\text{TR}}$ record could effectively capture the high-frequency changes in RH_{JJA} .

3.3. Spatial representativeness of the $\delta^{18}\text{O}_{\text{TR}}$ record and comparison with the surrounding $\delta^{18}\text{O}_{\text{TR}}$ site

To further demonstrate that the $\delta^{18}\text{O}_{\text{TR}}$ record can represent the regional hydrological conditions, we performed spatial

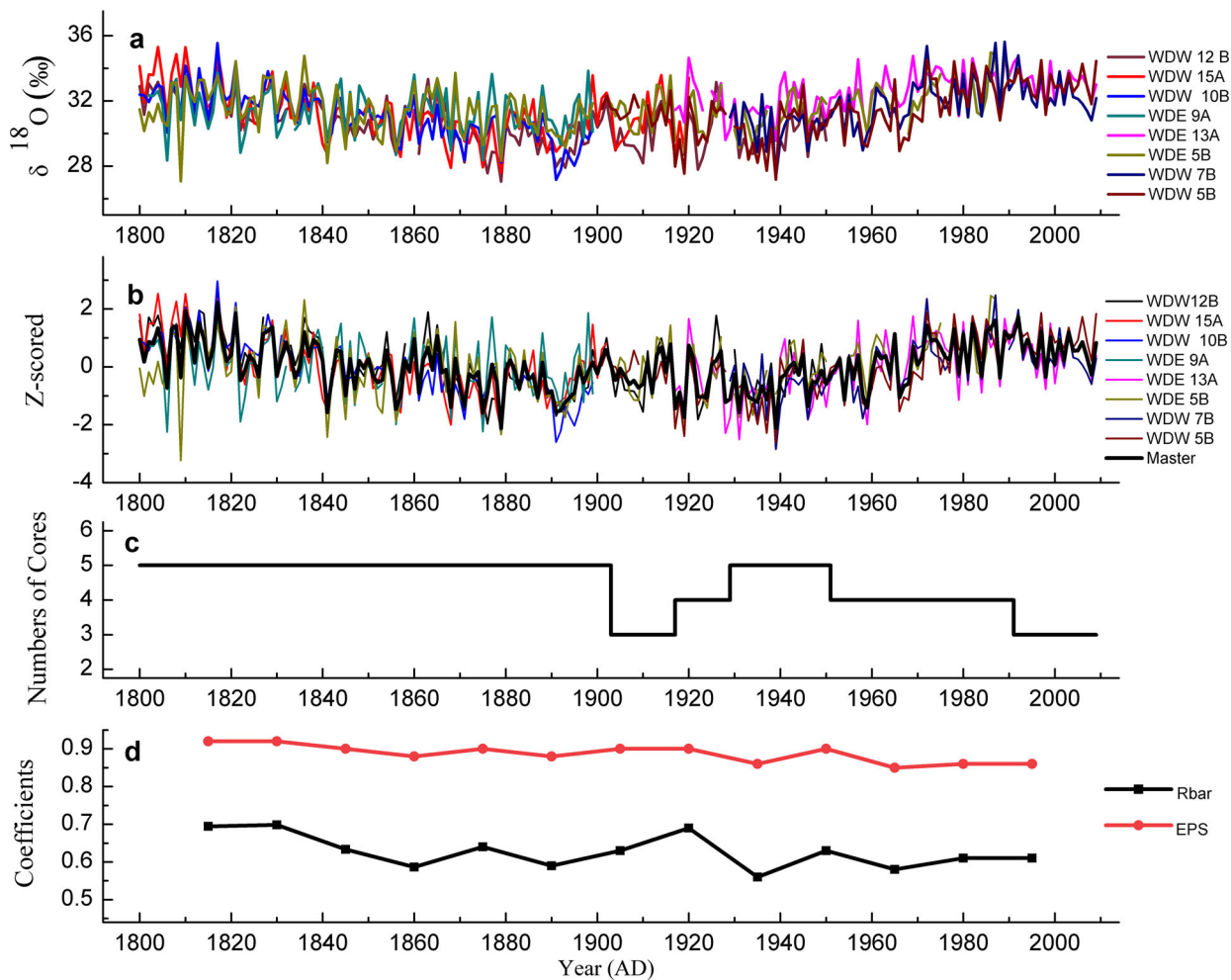


Fig. 3. The $\delta^{18}\text{O}_{\text{TR}}$ series in Baotou. (a) The raw $\delta^{18}\text{O}$ data of the eight cores. (b) The master $\delta^{18}\text{O}_{\text{TR}}$ series produced by averaging the eight z-scored individual series. (c) Numbers of cores through the chronology interval. (d) Mean interseries correlation (Rbar), the running EPS calculated using 30-year windows and a lag time of 15 years.

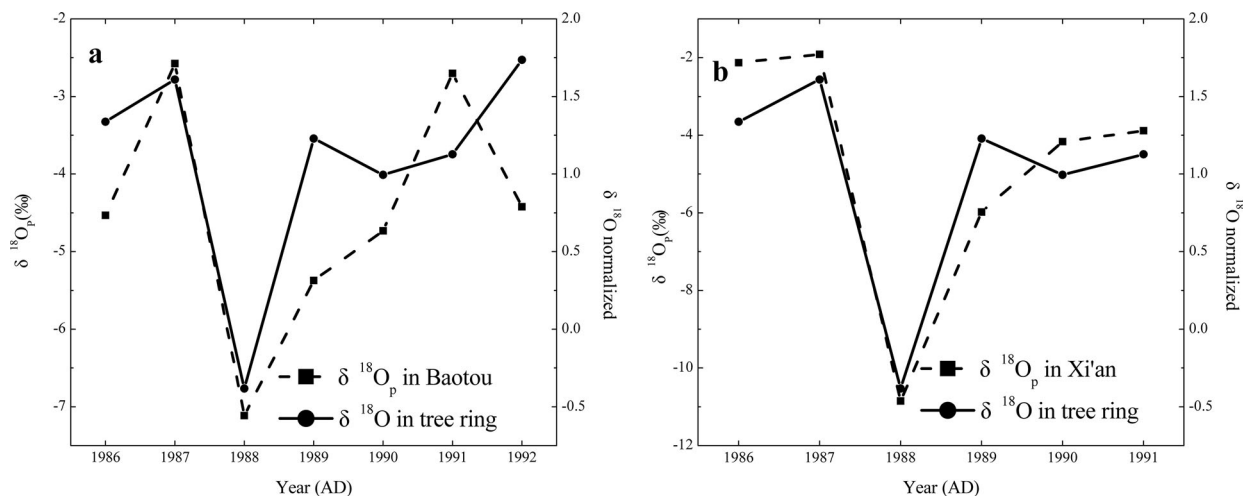


Fig. 4. Comparisons of the $\delta^{18}\text{O}_{\text{TR}}$ series and $\delta^{18}\text{O}_{\text{P}}$ in (a) Baotou; (b) Xi'an.

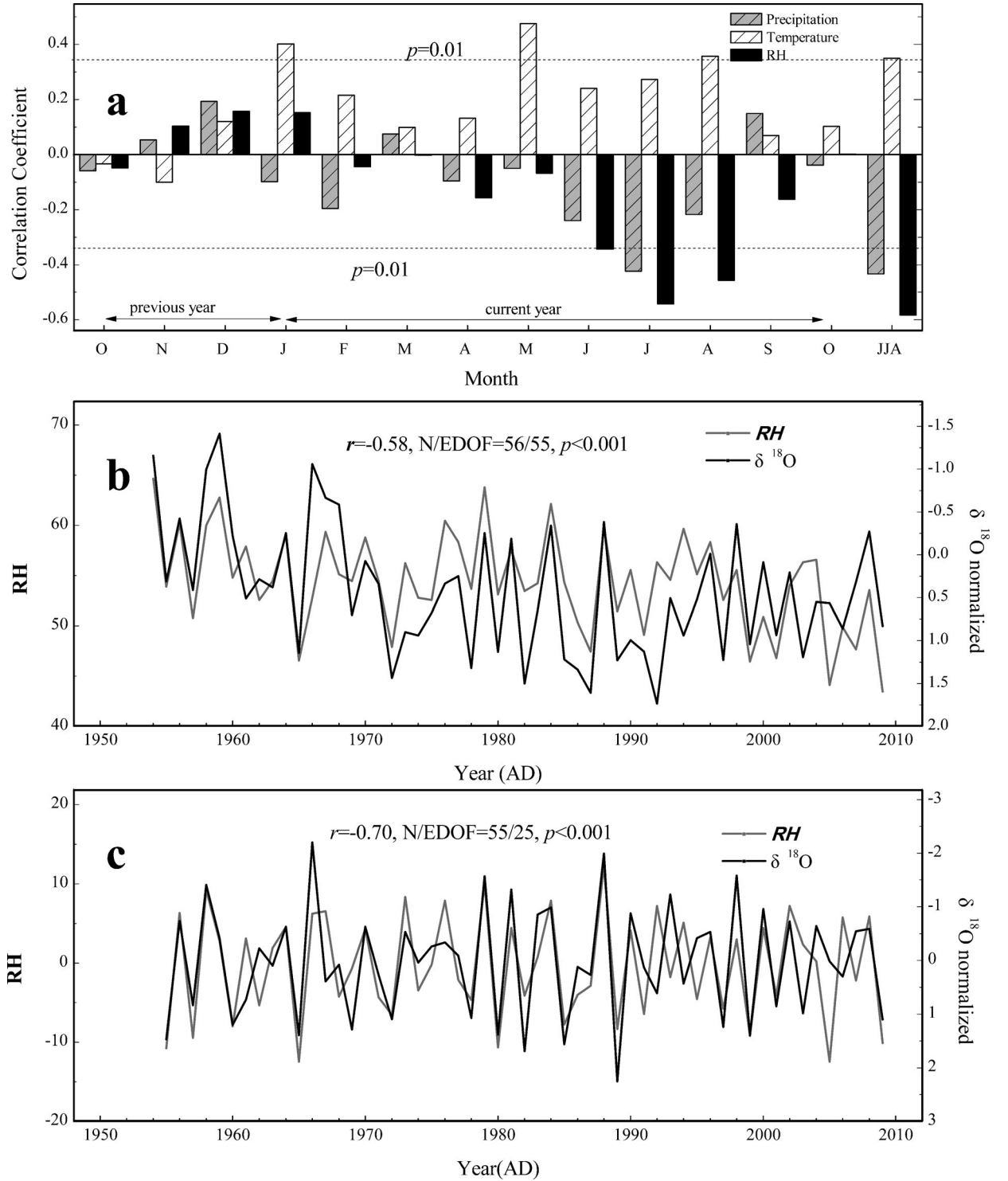


Fig. 5. Correlations and comparisons between the $\delta^{18}\text{O}_{\text{TR}}$ record and climate factors. (a) The correlations between the $\delta^{18}\text{O}_{\text{TR}}$ series and the climate factors during 1954–2009 AD; (b) The comparison of the original data between the $\delta^{18}\text{O}_{\text{TR}}$ series and RH_{JJA} . (c) The comparison of the first-order data between the $\delta^{18}\text{O}_{\text{TR}}$ series and RH_{JJA} .

correlation analysis between the $\delta^{18}\text{O}_{\text{TR}}$ sequence and the vapour pressure (CRU TS4.03), precipitation (CRU TS4.03) and scPDSI (UCAR) from 1950 to 2009 AD. As shown in

Fig. 6, the results proved that the $\delta^{18}\text{O}_{\text{TR}}$ sequence could represent the large-scale hydroclimatic changes in North China, especially the changes in vapour pressure. In addition, the

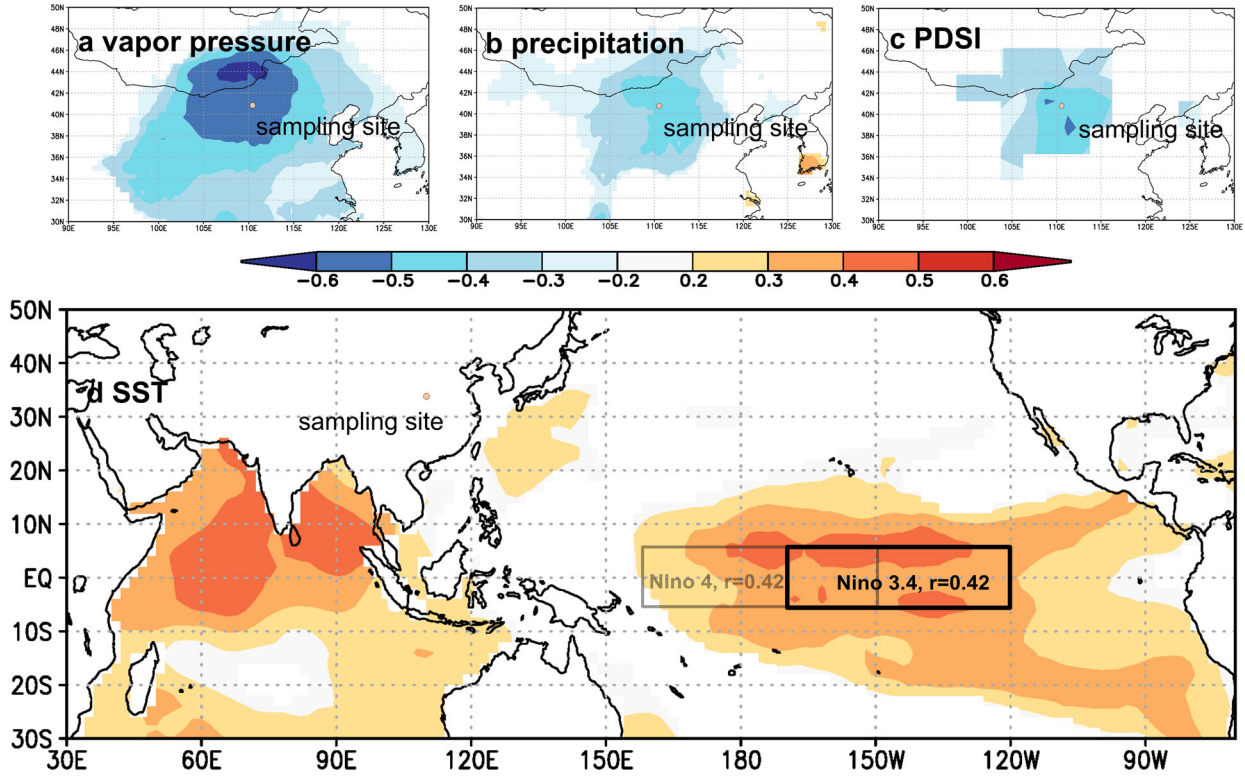


Fig. 6. Spatial correlations of the $\delta^{18}\text{O}_{\text{TR}}$ record with (a) the vapour pressure (CRU TS4.03) from 1950 to 2009 AD; (b) precipitation (CRU TS4.03) from 1950 to 2009 AD; (c) scPDSI (UCAR) from 1950 to 2009 AD; (d) SST (ERSST dataset) from 1854 to 2009 AD. The black and grey rectangles denote the Niño 3.4 and Niño 4 regions, respectively, and the correlation coefficients with the $\delta^{18}\text{O}_{\text{TR}}$ record are both indicated. The sampling site is illustrated with a red dot. All with p values < 0.1 .

$\delta^{18}\text{O}_{\text{TR}}$ records in Baotou and Ordos Plateau of Inner Mongolia (Liu, Wang et al., 2019) were correlated both on the interannual (Fig. 7a) and interdecadal (Fig. 7b) time-scales. The interannual correlation coefficient of the two sequences was 0.47 ($N/\text{EDOF} = 202/158$, $p < 0.001$), demonstrating the spatial representativeness of the $\delta^{18}\text{O}_{\text{TR}}$ record in Baotou. In the interdecadal variation, the $\delta^{18}\text{O}_{\text{TR}}$ values in two records both increased from the 1930s.

3.4. The relationship between the $\delta^{18}\text{O}_{\text{TR}}$ record and the ASM

To explore the relationship between the $\delta^{18}\text{O}_{\text{TR}}$ record and the ASM, we calculated the correlation coefficients between the $\delta^{18}\text{O}_{\text{TR}}$ series with the EASM and the ISM indexes (Table 2). The results showed that the correlations of $\delta^{18}\text{O}_{\text{TR}}$ with the ISM indexes were higher than those with the EASM indexes. In addition, the $\delta^{18}\text{O}_{\text{TR}}$ record was significantly correlated with all India precipitation in JJAS ($r = -0.23$, $N = 139$, $p < 0.01$) (Fig. 8a). According to the comparison of the 21-year running average (Fig. 8b) and the 21-year running correlation between the $\delta^{18}\text{O}_{\text{TR}}$ series and India precipitation

(Fig. 8c), the relationship between the $\delta^{18}\text{O}_{\text{TR}}$ record and all Indian precipitation was unstable, with the strongest correlation during the 1890s–1900s. After the 1990s, their correlation weakened. The 21-year running correlations between the $\delta^{18}\text{O}_{\text{TR}}$ series and JJA Niño 3.4 and between the $\delta^{18}\text{O}_{\text{TR}}$ series and all India precipitation were consistent (Fig. 8c).

4. Discussion

4.1. Characteristics and environmental factors of the $\delta^{18}\text{O}_{\text{TR}}$ record

Compared with the tree-ring width, a great advantage of $\delta^{18}\text{O}_{\text{TR}}$ is stronger climate signals with much fewer cores (Leavitt, 2010). $\text{EPS} = 0.85$ usually serves as a threshold for testing whether the number of cores is sufficient to capture the representative isotope signals (Wigley et al., 1984). The EPS in this study varied from 0.85 to 0.92, proving that the cores were sufficient to synthesise a reliable oxygen isotope chronology, although there were only three cores in some short intervals. In addition, the $\delta^{18}\text{O}_{\text{TR}}$ variability between the cores ranged from 0.19 to 4.28 ‰, which was similar to the 1–4 ‰ summarised by Leavitt (2010).

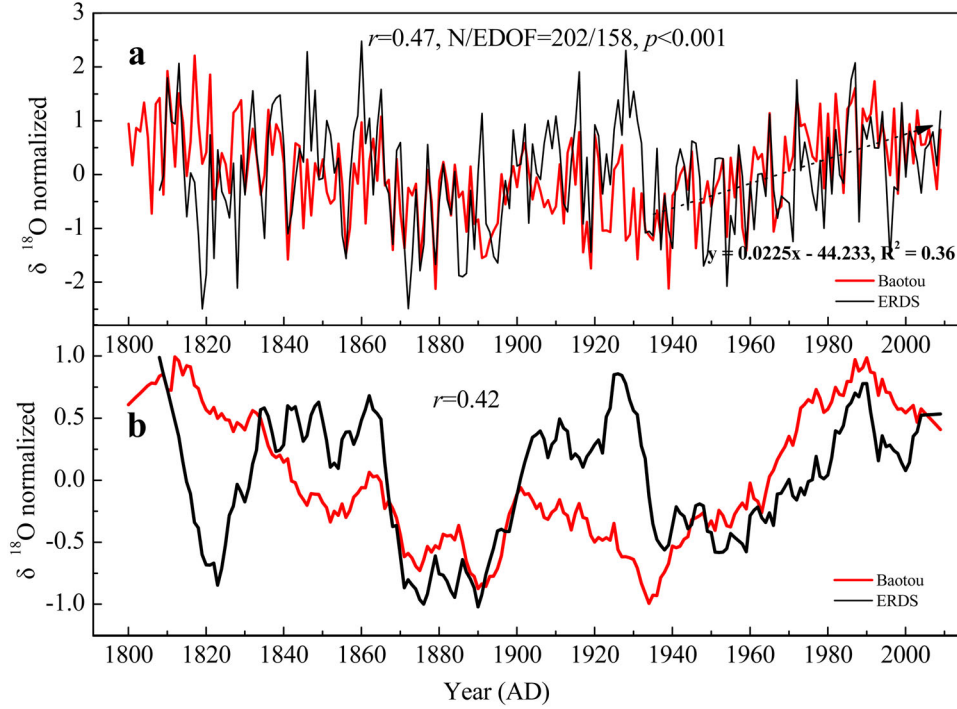


Fig. 7. Comparison of the $\delta^{18}\text{O}_{\text{TR}}$ records from Baotou and ERDS. (a) The original series of the two records. The $\delta^{18}\text{O}_{\text{TR}}$ record in ERDS (Liu, Wang et al. 2019) was normalised using the same method. The arrow line indicates the significant increasing trend of $\delta^{18}\text{O}_{\text{TR}}$ in Baotou since 1934 (Liu, Liu et al. 2019; Liu, Wang et al. 2019). (b) The 11-year moving averaging series of the two records.

The dominant environmental factors of the $\delta^{18}\text{O}_{\text{TR}}$ series in Baotou were $\delta^{18}\text{O}_{\text{P}}$ and summer RH, which was supported by the $\delta^{18}\text{O}_{\text{TR}}$ fractionation theory (McCarroll and Loader, 2004). First, we found that $\delta^{18}\text{O}_{\text{TR}}$ and $\delta^{18}\text{O}_{\text{P}}$ records in Xi'an and Baotou could be well matched (Fig. 4). The soil moisture absorbed by trees mainly came from the atmospheric precipitation, so $\delta^{18}\text{O}_{\text{TR}}$ was likely to contain the $\delta^{18}\text{O}_{\text{P}}$ signal (McCarroll and Loader, 2004). Although the $\delta^{18}\text{O}_{\text{P}}$ station in Xi'an is approximately 736 km far from the sampling site, the $\delta^{18}\text{O}_{\text{P}}$ signal was well-reserved. However, the $\delta^{18}\text{O}_{\text{TR}}$ and $\delta^{18}\text{O}_{\text{P}}$ values were slightly inconsistent in 1990 and 1992, which was possibly caused by other fractionation on the pathway from absorption of $\delta^{18}\text{O}_{\text{P}}$ to cellulose synthesis (Roden et al., 2000). Unfortunately, the $\delta^{18}\text{O}_{\text{P}}$ data in Baotou and Xi'an from the GNIP dataset were only seven or six years. If a long-term $\delta^{18}\text{O}_{\text{P}}$ observation record had been available, we could have determined the contribution of $\delta^{18}\text{O}_{\text{P}}$ to the $\delta^{18}\text{O}_{\text{TR}}$ record.

Second, the climate response analysis found that RH was the main factor controlling the $\delta^{18}\text{O}_{\text{TR}}$ sequence, while the effects of precipitation and temperature were weaker (Fig. 5a). This was because the RH directly controlled the $\delta^{18}\text{O}_{\text{TR}}$ fractionation, while precipitation and temperature could only indirectly influence the $\delta^{18}\text{O}_{\text{TR}}$ through $\delta^{18}\text{O}_{\text{P}}$ and RH (McCarroll and Loader, 2004). Lower RH would result in an increasing vapour pressure

gradient between the atmosphere and interstitial leaf space, leading to preferred ^{16}O loss and thereby ^{18}O enrichment in leaf water (Sano et al., 2011; Liu, Wang et al., 2019), and vice versa. Therefore, $\delta^{18}\text{O}_{\text{TR}}$ exhibited a negative correlation with RH. JJA was the main summer monsoonal precipitation season in the sampling site, and the sharply changing RH in JJA (Fig. 2) greatly influenced the $\delta^{18}\text{O}_{\text{TR}}$ values. According to the meteorological data, the correlation of the RH with precipitation was 0.69, while the correlation with temperature was merely -0.37 in JJA (1954–2009 AD). This could explain why precipitation had a higher correlation with the $\delta^{18}\text{O}_{\text{TR}}$ record than the temperature in JJA.

Previous studies have shown that $\delta^{18}\text{O}_{\text{TR}}$ can be a good indicator of hydrological climate factors (Lorrey et al., 2016; Brunello et al., 2019), such as RH (An et al., 2014; Griebinger et al., 2017; Li et al., 2019; Liu, Wang et al., 2019), PDSI (Sano et al., 2011; Liu, Fang et al., 2019), SPEI (Labuhn et al., 2016), precipitation (Anchukaitis et al., 2008; Baker, Hunt et al., 2015; Xu, Shi et al., 2019), vapour pressure deficit (Liu, Zhang et al., 2017), even cloud cover (Liu et al., 2014). The results of the spatial correlation between $\delta^{18}\text{O}_{\text{TR}}$ in Baotou and the vapour pressure, precipitation, and PDSI in this study (Fig. 6a–c) also demonstrated that the $\delta^{18}\text{O}_{\text{TR}}$ record could reflect the hydroclimatic conditions in North China.

4.2. Comparison of $\delta^{18}\text{O}_{\text{TR}}$ records

We found that the $\delta^{18}\text{O}_{\text{TR}}$ records in Baotou and Ordos were well correlated ($r=0.47$, 1808–2009 AD, Fig. 7), which indicated that the $\delta^{18}\text{O}_{\text{TR}}$ records were substantially consistent over long distances (Baker, Hunt et al.

Table 2. Correlations between $\delta^{18}\text{O}_{\text{TR}}$ and different monsoon indexes (1948–2009 AD).

	ISM	EASM
Webster and Yang (1992)	−0.39**	
Lau et al. (2000)	−0.38**	0.06
Li and Zeng (2002, 2003)	−0.31*	0.07
Zhang et al. (2003)		−0.25*

** and * represent significance at the 99% and 95% confidence levels, respectively.

2015; Wernicke et al., 2019; Xu, Shi et al., 2019). The $\delta^{18}\text{O}_{\text{TR}}$ averages and standard deviations in Baotou (Table 1) and Ordos ($32.38\text{‰} \pm 1.24\text{‰}$, Liu, Wang et al., 2019) were similar. Since $\delta^{18}\text{O}_{\text{TR}}$ was mainly controlled by $\delta^{18}\text{O}_{\text{P}}$ and RH (Waterhouse et al., 2002), we assumed that two main reasons contributed to the consistency of the two $\delta^{18}\text{O}_{\text{TR}}$ records. First, the RH_{JJA} in Baotou and Ordos were approaching the same value, which resulted in similar oxygen isotope evaporation enrichment in the two sites. According to Dongsheng station, RH_{JJA} in Ordos was 55.2% (1957–2009 AD), while RH_{JJA} in Baotou was 54.1% (1957–2009 AD) according to the three stations used in the study. Secondly, we speculated that $\delta^{18}\text{O}_{\text{TR}}$ sequences in Baotou and Ordos probably inherited the same or similar $\delta^{18}\text{O}_{\text{P}}$, as Liu, Wang et al. (2019) also reported the consistency of $\delta^{18}\text{O}_{\text{TR}}$ with $\delta^{18}\text{O}_{\text{P}}$

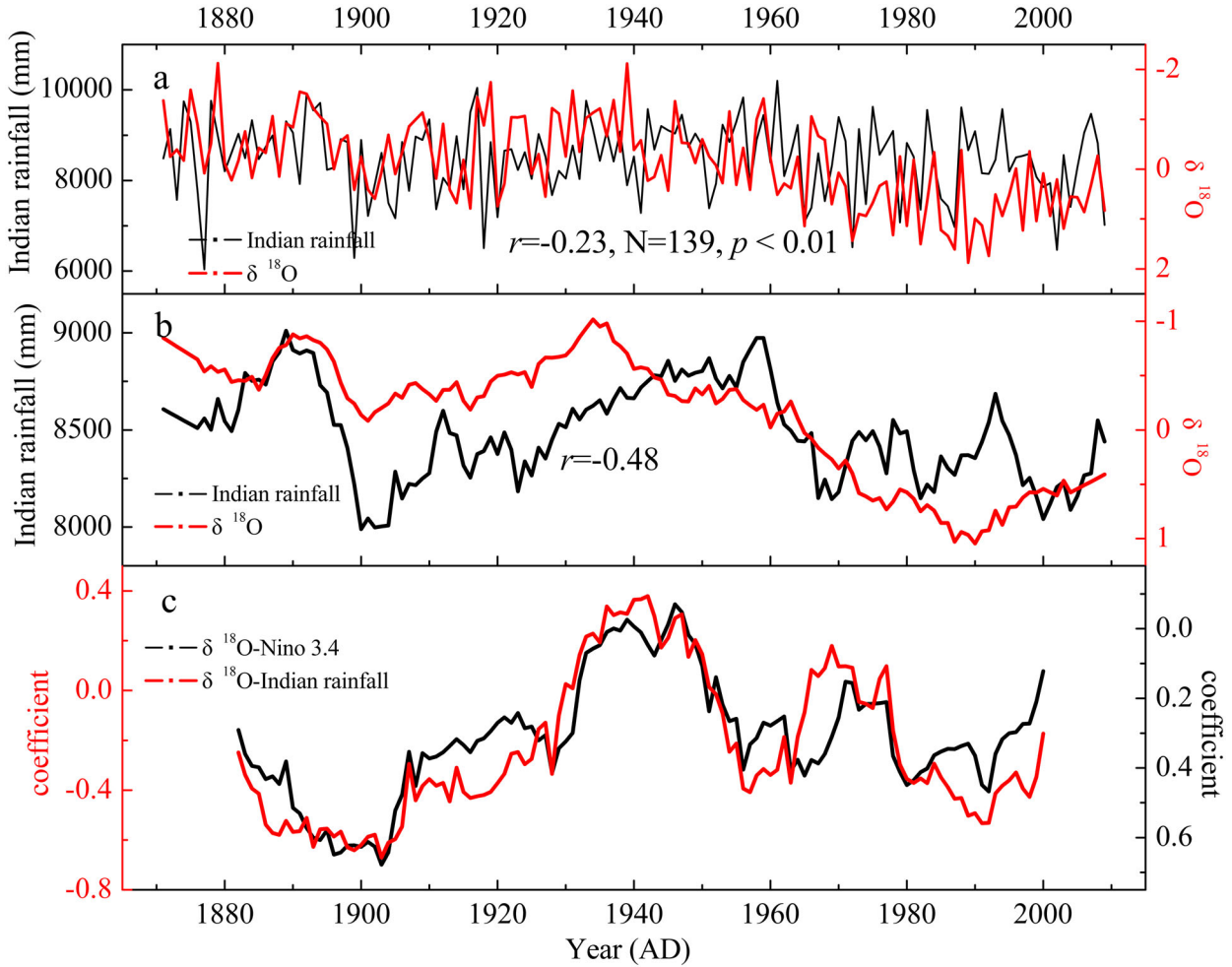


Fig. 8. The correlations of $\delta^{18}\text{O}_{\text{TR}}$ with the ISM and ENSO. (a) Comparison of the original series between the $\delta^{18}\text{O}_{\text{TR}}$ record in Baotou and all Indian precipitation (JJAS); (b) Comparison of the 21-year moving averaging series between the $\delta^{18}\text{O}_{\text{TR}}$ record in Baotou and the all Indian precipitation (JJAS); (c) The 21-year moving correlations between $\delta^{18}\text{O}_{\text{TR}}$ record in Baotou and the JJA Niño 3.4 index (ERSST), comparing with the 21-year moving correlation of the all Indian precipitation (JJAS). The all Indian precipitation (JJAS) was used to represent the ISM intensity.

in Xi'an and Baotou. Nevertheless, in addition to the $\delta^{18}\text{O}_\text{P}$ and RH, biochemical fractionation could also change the $\delta^{18}\text{O}_\text{TR}$ values (Barbour et al., 2001). Therefore, the two records were not exactly the same.

Since the 1930s, the $\delta^{18}\text{O}_\text{TR}$ values have increased contemporaneously in both Baotou and Ordos (Fig. 7), indicating the climate has become drier (Liu, Wang et al., 2019). Liu, Cai et al. (2019) found that the precipitation in Loess Plateau had unprecedentedly decreased from 1934 to 2013. Furthermore, the temperature in North China began to rise after the 1930s (Cai et al., 2018), which has also partly led to the drier climate. Declining precipitation, rising temperature and thus the drier climate, combined with a rapidly growing population, would result in land degradation and desertification in the semi-arid area (Huang et al., 2017). It deserves attention from the local government and the public.

4.3. The impact of the ASM on the $\delta^{18}\text{O}_\text{TR}$ record

The ASM could have an impact on the RH_{JJA} and $\delta^{18}\text{O}_\text{P}$, thus influencing the $\delta^{18}\text{O}_\text{TR}$ record. First of all, the local RH_{JJA} was closely related to summer monsoonal precipitation ($r=0.69$, $p<0.001$, 1954–2009 AD) that was controlled by the ASM intensity (Wang, 2006; Liu, Cai et al., 2019). Besides, $\delta^{18}\text{O}_\text{P}$ was modulated by many factors, such as its source region, temperature, cloud physics, and the condensation history of atmospheric water vapour (Brienen et al., 2013). Some studies believed the $\delta^{18}\text{O}_\text{P}$ in the AM region was a proxy of AM intensity since it integrated all aspects of atmospheric transport from source to sink (Vuille et al., 2005; Yang et al., 2016). Moreover, Li et al. (2011) reconstructed the $\delta^{18}\text{O}_\text{P}$ variation in North China based on $\delta^{18}\text{O}_\text{TR}$ and found the $\delta^{18}\text{O}_\text{P}$ was well correlated with ASM intensity.

The ASM contains two sub-systems, namely the EASM and ISM, which dynamically interact with each other (Lau et al., 2000). Modern observation showed that the ISM and associated moisture could penetrate north-eastward and influence the precipitation in East Asia (Cheng et al., 2012). And in the north fringe of ASM, it was found that both EASM and ISM could influence the precipitation (Liu, Cai et al., 2019). As demonstrated in Table 2, the $\delta^{18}\text{O}_\text{TR}$ record in Baotou generally had stronger correlations with all three ISM indexes than the three EASM indexes, indicating that the ISM had a stronger impact on the $\delta^{18}\text{O}_\text{TR}$ record. Besides, Fig. 6d illustrated a significant correlation between the $\delta^{18}\text{O}_\text{TR}$ record and the Indian Ocean SST. These results implied that water vapour in Baotou was possibly derived from the Indian Ocean (Baker, Sodemann et al., 2015). In addition, the water vapour flux map from the ground to 300 hPa (Supplementary material, Figure S1) also implied

that the water vapour in Baotou was originated from the Indian Ocean during JJA.

Moreover, we found that the relationship between the ISM and the $\delta^{18}\text{O}_\text{TR}$ record was still significant during 1871–2009 AD (Fig. 8a). Furthermore, their decadal changes were generally consistent (Fig. 8b). These results implied the impact of ISM on the $\delta^{18}\text{O}_\text{TR}$ record existed over a long timescale (Li et al., 2015).

4.4. The impact of ENSO

MTM analysis demonstrated that the $\delta^{18}\text{O}_\text{TR}$ sequence had an interannual cycle of 2.1 a, 2.5 a, 3.8 a, and 5.3 a (Supplementary material, Figure S2), which might relate to the 2–7 a cycle of ENSO (Capotondi et al., 2015). Furthermore, the spatial correlation of $\delta^{18}\text{O}_\text{TR}$ with SST (Fig. 6d) indicated that the tropical Pacific SST had an impact on the climate. Although ENSO is only a natural fluctuation of the tropical Pacific SST on an interannual scale, it can affect the global moisture cycle through the sea-air coupling, which was described as the atmospheric bridge in Alexander et al. (2002). During an El Niño event, the SST in the eastern Pacific increased abnormally, and the western Pacific convection shifted to the central and eastern Pacific, suppressing the convection of the West Pacific Warm Pool and the AM region, resulting in a weakening AM (Li and Ting, 2015) and thereby an increasing $\delta^{18}\text{O}_\text{TR}$ value. Therefore ENSO and the $\delta^{18}\text{O}_\text{TR}$ series displayed a positive correlation. As shown in Fig. 8c, when the correlation between the $\delta^{18}\text{O}_\text{TR}$ record and Niño 3.4 was strong (weak), the correlation between the $\delta^{18}\text{O}_\text{TR}$ record and the ISM was also strong (weak). It indicated the $\delta^{18}\text{O}_\text{TR}$ series recorded the ENSO signal through the influence of ISM.

ENSO can be further divided into the Eastern Pacific (EP) ENSO and Central Pacific (CP) ENSO (Kao and Yu, 2009) or El Niño Modoki (Ashok et al., 2007), and both types of ENSO will have a global climate effect (Hu et al., 2016). Usually, the Niño 4 and Niño 3.4 indexes represent the CP SST changes, and the Niño 3 index and the Niño 1+2 index represent the EP SST changes (Yu and Kao, 2007). We found that $\delta^{18}\text{O}_\text{TR}$ and Niño 3.4 ($r=0.42$, $N=155$, $p<0.001$) and Niño 4 ($r=0.42$, $N=155$, $p<0.001$) were more correlated than Niño 3 ($r=0.36$, $N=155$, $p<0.001$) and Niño 1+2 indexes ($r=0.19$, $N=155$, $p<0.05$) during JJA, indicating that the CP SST had a greater impact on the $\delta^{18}\text{O}_\text{TR}$ in Baotou. Although the concept of the CP ENSO has only been proposed in the last decade (Capotondi et al., 2015), it has been found that the intensity of CP El Niño events has almost doubled over the past three decades (Lee and McPhaden, 2010). The greater impact of CP SST on

$\delta^{18}\text{O}_{\text{TR}}$ records in China has also been found in other studies (Liu, Cobb et al., 2017; Liu, Wang et al., 2019).

CP ENSO probably had a stronger impact on the ISM than the EP ENSO and thereby exerted more influence on the $\delta^{18}\text{O}_{\text{TR}}$ record. For example, Kumar et al. (2006) found that CP El Niño events could more effectively suppress ISM rainfall than EP El Niño events by shifting the descending arm of the Walker circulation over the eastern equatorial Indian ocean and driving anomalous regional Hadley circulation. A sub-annual $\delta^{18}\text{O}$ record of stalagmite also pointed out that the ISM was more correlated with CP El Niño events than EP El Niño events (Myers et al., 2015).

5. Conclusions and future prospects

In Baotou, North China, we established a 210-year $\delta^{18}\text{O}_{\text{TR}}$ chronology (1800–2009 AD). The chronology was mainly controlled by the $\delta^{18}\text{O}_{\text{P}}$ and RH_{JJA} . It was well-correlated with the $\delta^{18}\text{O}_{\text{TR}}$ record in Ordos Plateau. Since the 1930s, the contemporaneously increasing $\delta^{18}\text{O}_{\text{TR}}$ values in the two records indicated the drier climate thereafter, which could further exacerbate the ecologic environment in the semi-arid region. The consistency of the two records laid a basis for the regional climate reconstruction in the future.

We found that the ISM had a stronger influence on the $\delta^{18}\text{O}_{\text{TR}}$ record than the EASM, and the impact of the ISM existed over a long timescale (1871–2009 AD). Besides, CP ENSO probably had a more substantial impact on the ISM than the EP ENSO and thereby exerted more influence on the $\delta^{18}\text{O}_{\text{TR}}$ record.

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Declaration of interest

No potential conflict of interest was reported by the authors.

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Data availability statement

Data that have contributed to the reported results are available from the corresponding author on request.

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